

Alcohol and Other Drug Service Definitions

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OAC 3793:2-1-08 Treatment services...

- (E) **Consultation** means assisting an individual in accessing alcohol and other drug services or other necessary services generally occurring prior to admission. Consultation is a cross-system or within-system collaboration on behalf of an individual to assist in assessment and triage decisions. This process may include family members or other significant persons. Within-system does not include consultation within a treatment agency.
- (F) **Referral and information service** means responding to inquiries from people, usually by telephone, about services provided by the program or services provided by other health care organizations and contacting another health care organization provider in order to obtain services for an individual. This service does not include "hotline services".
- (G) **Intervention service** means those activities that seek to detect alcohol and/or other drug problems and addiction and to intervene in such a way as to arrest the progression of such problems. It includes early intervention services.
- (H) **Hotline service** means a program's twenty-four hour per day, seven days per week capability to respond to telephone calls often anonymous, made to a program for crisis assistance. The caller may or may not become a client of the program.
- (I) **Training service (non-prevention)** means developing alcohol and/or drug service skills of staff and personnel not employed by the agency (e.g. counselors'/clinicians' training on counseling techniques and approaches; sessions for clinicians on the effect of various types of drugs).
- (J) **Outreach service** means a planned approach to reach a target population within their environment. The purpose of this approach is to prevent and/or address issues and problems as they relate to the use/abuse of alcohol or drugs.
- (K) **Assessment service** means the evaluation of an individual to determine the nature and extent of his/her abuse, misuse and/or addiction to alcohol and/or other drugs. Assessment services shall consist of time limited, structured, face-to-face sessions.
- (1) Face-to-face assessment sessions can include family members, legal guardians and/or significant others when the intended outcome of sessions is to ascertain the nature and extent of a client's alcohol and/or drug problem.
 - (2) Assessment services may be provided at an alcohol and drug addiction program site certified by the Ohio department of alcohol and drug addiction services or in the natural environment of the client being served.
 - (3) Assessment includes at a minimum, the following information:
 - (a) Presenting problem(s) and/or precipitating factors leading to the need for an assessment;
 - (b) History of alcohol and other drug use by client and family members and/or significant others;
 - (c) Current over-the-counter and prescription medications being used;
 - (d) History of treatment for alcohol and other drug abuse;
 - (e) Medical history;
 - (f) Allergies to include food and drug reactions;
 - (g) Employment history;
 - (h) Educational history;
 - (i) Legal history to include pending charges and parole/probation status;
 - (j) Mental status screen including but not limited to, appearance, attitude, motor activity, affect, mood, speech and thought content;
 - (k) Psychiatric history;
 - (l) Family history;

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- (m) Sexual history;
 - (n) Religion/spiritual orientation;
 - (o) Strengths/assets;
 - (p) Weaknesses/limitations;
 - (q) Degree of severity for the following dimensions: intoxication and withdrawal potential, biomedical conditions and complications, emotional/behavioral/cognitive conditions and complications, treatment acceptance/resistance, relapse potential, recovery environment and family or care giver functioning (youth only);
 - (r) Recommendations for treatment.
- (4) A program may accept an assessment from a program certified by the department or an assessment containing comparable elements of assessment required by this rule that has been completed within one year of the admission date of a client; however, a copy of the assessment shall be filed in the client's record and updated, signed and dated by a staff member of the admitting program authorized to conduct an assessment pursuant to agency-level 3793 of the Administrative Code.
- (L) Crisis intervention service** is a face-to-face interaction with a client that is in response to a crisis or emergency situation experienced by themselves, a family member and/or significant other. It begins with an evaluation of what happened during the crisis and the individual's response or responses to it. An individual's reaction to a crisis can include emotional reactions (such as fear, anger, guilt, anxiety, grief), mental reactions (such as difficulty concentrating, confusion, nightmares), physical reactions (such as headaches, dizziness, fatigue, stomach problems), and behavioral reactions (sleep and appetite problems, isolation, restlessness). Information about the individual's strengths, coping skills, and social support networks is also obtained.
- (1) Crisis intervention services can be provided at a program site certified by the Ohio department of alcohol and drug addiction services or in the client's natural environment.
 - (a) Individuals who have unstable medical problems shall be referred to a medical facility.
 - (b) Individuals who have unstable psychiatric problems shall be referred to a psychiatric facility.
 - (c) Individuals who are experiencing withdrawal symptoms from use of alcohol and/or other drugs shall be referred to a person and/or entity that can provide the appropriate level of detoxification services.
 - (2) Individual service providers of crisis intervention services shall have current training and/or certification, with documentation of same in their personnel files, in the following:
 - (a) Cardio-pulmonary resuscitation techniques.
 - (b) First aid.
 - (c) De-escalation techniques.
- (M) Case management services** means those activities provided to assist and support individuals in gaining access to needed medical, social, educational and other services essential to meeting basic human needs. Case management services may include interactions with family members, other individuals or entities.
- (1) Case management services shall include, at a minimum, the following activities:
 - (a) Assessment.
 - (b) Development of a case management plan of care as defined in rule [3793:2-1-06](#) of the Administrative Code.
 - (c) Referral.
 - (d) Monitoring and follow-up.
 - (2) Examples of case management activities include: coordinating: client assessments, treatment planning and crisis intervention services; providing training and facilitating linkages for the use of community resources; monitoring service delivery; obtaining or assisting individuals in obtaining necessary services, for example, financial assistance, housing assistance, food, clothing, medical services, educational services, vocational services, recreational services,

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etc.; assisting individuals in becoming involved with self-help support groups; assisting individuals in increasing social support networks with family members, friends, and/or organizations; assisting individuals in performing daily living activities; and coordinating criminal justice services.

- (a) Transportation in and of itself does not constitute case management.
 - (b) Waiting with clients for appointments at social service agencies, court hearings and similar activities does not, in and of itself, constitute case management.
 - (3) Case management services can be provided at a program site certified by the Ohio department of alcohol and drug addiction services, in the natural environment of the client or by telephone.
 - (4) Case management services do not include the provision of direct services (medical, educational, or social) to which the client has been referred nor does it include internal quality assurance activities, such as clinical supervisory activities and/or case reviews/staffing sessions.
- (N) Individual counseling** involves a face-to-face encounter between a client or client and family member and a counselor. Individual counseling means the utilization of special skills to assist an individual in achieving treatment objectives through the exploration of alcohol and other drug problems and/or addiction and their ramifications, including an examination of attitudes and feelings, consideration of alternative solutions and decision making and/or discussing didactic materials with regard to alcohol and other drug related problems. Individual counseling services can be provided at a program site certified by the Ohio department of alcohol and drug addiction services or in the client's natural environment.
- (O) Group counseling** means the utilization of special skills to assist two or more individuals in achieving treatment objectives. This occurs through the exploration of alcohol and other drug problems and/or addiction and their ramifications, including an examination of attitudes and feelings, consideration of alternative solutions and decision making and/or discussing information related to alcohol and other drug related problems. Group counseling services shall be provided at a program site certified by the Ohio department of alcohol and drug addiction services or in the client's natural environment. The client to counselor ratio for group counseling shall not be greater than twelve to one. Group counseling shall be documented per paragraphs (M) and (N) of rule [3793:2-1-06](#) of the Administrative Code. Group sessions, which focus on helping individuals increase awareness and knowledge of the nature, extent and harm of their alcohol and drug addiction do not have a client to counselor ratio requirement. Such group sessions can consist of lecture, viewing a video or a structured discussion session and shall be documented per paragraph (O)(1) of rule [3793:2-1-06](#) of the Administrative Code. The provision of this type of group session shall not eliminate the requirement for group counseling in outpatient and residential treatment.
- (P) Family counseling** means the utilization of special skills in sessions with individuals and their family members and/or significant others under the guidance of a counselor to address family and relationship issues related to alcohol and other drug abuse and/or dependence for the purpose of promoting recovery from addiction. Family counseling services can be provided at a program certified by the Ohio department of alcohol and drug addiction services or in the natural environment of the client.
- (Q) Intensive outpatient service** means structured individual and group alcohol and drug addiction activities and services that are provided at a certified treatment program site for a minimum of eight hours per week with services provided at least three days per week.
 - (1) Intensive outpatient services shall be provided at a treatment program site certified by the department of alcohol and drug addiction services.
 - (2) Intensive outpatient services shall include the following services:
 - (a) Assessment.
 - (b) Individual counseling.

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- (c) Group counseling.
 - (d) Crisis intervention as needed.
 - (3) Group counseling shall be provided each day intensive outpatient services are offered.
- (R) **Urinalysis** means the testing of an individual's urine specimen to detect the presence of alcohol and other drugs. Urinalysis includes laboratory testing and/or urine dip screen.
- (1) **Laboratory** testing procedures include:
 - (a) Urine specimens for urinalysis/lab analysis can be collected at a program site certified by the Ohio department of alcohol and drug addiction services, in the client's natural environment or at a laboratory.
 - (b) Programs that perform urinalysis/lab analysis shall have a standing physician's, clinical nurse specialist's or certified nurse practitioner's order for each client needing this service.
 - (c) Programs that perform urinalysis/lab analysis shall have a written procedure for a chain of custody of urine specimens.
 - (d) Urine specimens shall be collected in a manner to minimize falsification.
 - (e) Containers for urine specimens shall be labeled to reflect:
 - (i) The identification of the person from whom the specimen was obtained.
 - (ii) Date that the specimen was obtained.
 - (f) Urinalysis/lab analysis shall be performed by a laboratory that is in compliance with all applicable federal proficiency testing and licensing standards.
 - (g) Results of urinalysis/lab analysis testing shall be reviewed by the program staff and a copy of the results placed in the client's file. Positive results shall be shared with the client.
 - (2) **Urine dip screen** procedures include:
 - (a) Urine specimens shall be collected in a manner to minimize falsification.
 - (b) Programs that perform urine dip screens do not need a standing physician's clinical nurse specialist's or certified nurse practitioner's order for each client needing this service.
 - (c) Containers for urine specimens shall be labeled to reflect:
 - (i) The identification of the person from whom the specimen was obtained.
 - (ii) Date that the specimen was obtained.
 - (d) Results of the urine dip screen shall be reviewed by the program staff and a copy of the results placed in the client's file. Positive results shall be shared with the client.
- (S) **Medical/somatic services** means medical services, medication administration services, medication assisted treatment, and the dispensing of medications in an alcohol and other drug treatment program.
- (1) Medical/somatic services shall be delivered at a program site certified by the Ohio department of alcohol and drug addiction services.
 - (2) Medical services means those activities performed by a physician, registered nurse or licensed practical nurse to address the physical needs of clients. Medical services include, but are not limited to: health care examinations, health assessments, taking vital signs and reviewing laboratory findings.
 - (a) Medical services shall be delivered by staff who are credentialed by the Ohio board of nursing or by the Ohio state medical board.
 - (b) Providers of medical services shall be supervised by a registered nurse who is registered with the Ohio nursing board or by a physician who is licensed by the Ohio state medical board.
 - (3) Medication administration services means the administration or dispensing of medications to clients. This service does not include detoxification, rehabilitation, opioid agonist

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administration or urinalysis. Only physicians and pharmacists are authorized to dispense medications.

(S)(4) Medication assisted treatment means the services of a medical professional directly related to the use of medications to provide a whole patient approach to the treatment of substance abuse disorders. This includes, but is not limited to, services associated with prescribing medications, the direct administration of medications and follow-up monitoring of patient health related to the use of medications. Medications utilized must be approved by the U.S. food and drug administration specifically for the treatment of alcohol and/or drug abuse or dependence. Medication assisted treatment does not include services as defined in paragraphs (T) and (X) of this rule. Medication assisted treatment shall be administered in the following manner:

- (a) At an outpatient or residential program certified by the department of alcohol and drug addiction services or in the natural environment of the client.
- (b) By a physician who is licensed by the state of Ohio medical board and is in compliance with any applicable waiver requirement related to the Drug Addiction Treatment Act (DATA) of 2000. The physician is the only medical professional who may provide medication assisted treatment in the natural environment of the client.
- (c) Services of a non-physician medical professional must be directed by the treating physician and shall be considered a component of the medication assisted treatment service.
- (d) The treating physician must be immediately available to assist the non-physician.
- (e) Comply with all state and federal laws and regulations related to the administration, dispensing and prescribing of medication assisted treatment.

(T) Opioid agonist administration means the administration or dispensing of opioid agonist to an individual only for the treatment of narcotic addiction by an alcohol and other drug treatment program licensed by the department of alcohol and drug addiction services as a opioid agonist program in accordance with section [3793.11](#) of the Revised Code. Opioid agonist shall be administered and/or dispensed at a program site which is certified as a treatment program by the department of alcohol and drug addiction services and is approved by the U.S. food and drug administration for the use of opioid agonist in the treatment of narcotic addiction.

(U) Medical community residential treatment means a twenty-four-hour rehabilitation facility, with twenty-four-hour-a-day medical/nursing monitoring, where a planned program of professionally directed evaluation, care and treatment for the restoration of functioning for persons with alcohol and other drug problems and/or addiction occurs. It may be affiliated with or located within a hospital, as part of the inpatient/residential continuum or may be in a freestanding facility.

(V) Non-medical community residential treatment means a twenty-four-hour rehabilitation facility, without twenty-four-hour-per-day medical/nursing monitoring, where a planned program of professionally directed evaluation, care and treatment for the restoration of functioning for persons with alcohol and other drug problems and/or addiction occurs.

(W) Twenty-three hour observation bed means face-to-face evaluation, for up to twenty-three hours duration under close medical/nursing supervision, of an individual who presents an unpredictable risk of adverse consequences due to intoxication, withdrawal potential and/or co-existing disorders for the purpose of determining the appropriate treatment and plan for the next level of care.

(X) Ambulatory detoxification services means face-to-face interactions with an individual who is suffering mild to moderate symptoms of withdrawal, for the purpose of alcohol and/or drug detoxification. This service shall be supervised by a physician, under a defined set of policies and procedures, who is licensed by the state of Ohio medical board. Ambulatory detoxification services shall be provided by an outpatient program that is certified by the department of alcohol and drug addiction services. Department certified halfway house and residential treatment programs that want

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to provide ambulatory detoxification services need not obtain outpatient certification from the department.

(Y) Sub-acute detoxification refers to detoxification services provided with twenty-four-hour medical monitoring. Services are of brief duration and linkage to other formal and informal services shall be made. Sub-acute detoxification may be provided in a hospital setting as a step-down service from acute detoxification, or may be provided in a free-standing setting with medical monitoring. This service shall be supervised by a physician, under a defined set of policies and procedures, who is licensed by the state of Ohio medical board.

(Z) Acute hospital detoxification services are delivered based on treatment protocols for detoxification in a hospital setting and are delivered by medical and nursing professionals who provide twenty-four hour medically-directed assessment and withdrawal management. Acute hospital detoxification services are indicated for individuals whose intoxication/withdrawal signs and symptoms are sufficiently severe to require primary medical and nursing care service and medical management. Acute hospital detoxification services are to be delivered under a defined set of physician approved policies and physician managed procedures and medical protocols.

OAC 3793:5-1-02(C)(1)(b) Prevention Service Delivery Strategies...

(i) Information Dissemination is an AOD prevention strategy that focuses on building awareness and knowledge of the nature and extent of alcohol and other drug use, abuse and addiction and the effects on individuals, families and communities, as well as the dissemination of information about prevention, treatment and recovery support services, programs and resources. This strategy is characterized by one-way communication from source to audience, with limited contact between the two;

(ii) Alternatives are AOD prevention strategies that focus on providing opportunities for positive behavior support as a means of reducing risk taking behavior, and reinforcing protective factors. Alternative programs include a wide range of social, recreational, cultural and community service/volunteer activities that appeal to youth and adults;

(iii) Education is an AOD prevention strategy that focuses on the delivery of services to target audiences with the intent of affecting knowledge, attitude and/or behavior. Education involves two-way communication and is distinguished from information dissemination by the fact that interaction between educator/facilitator and participants is the basis of the activities. Activities affect critical life and social skills including decision making, refusal skills, critical analysis and systematic judgment abilities;

(iv) Community-Based Process is an AOD prevention strategy that focuses on enhancing the ability of the community to provide prevention services through organizing, training, planning, interagency collaboration, coalition building and/or networking;

(v) Environmental prevention is an AOD prevention strategy that represents a broad range of activities geared toward modifying systems in order to mainstream prevention through policy and law. The environmental strategy establishes or changes written and unwritten community standards, codes and attitudes, thereby influencing incidence and prevalence of alcohol and other drug use/abuse in the general population;

(vi) Problem Identification and Referral is an AOD prevention strategy that refers to intervention oriented prevention services that primarily targets indicated populations to address the earliest indications of an AOD problem. Services by this strategy focus on preventing the progression of the problem. This strategy does not include clinical assessment and/or treatment for substance abuse and dependence.

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3793:2-5-01 Residential treatment program...

(C) Each [certified] residential treatment program shall provide:

- (1) Structured alcohol/drug addiction services and activities for at least thirty hours for adults and twenty hours for adolescents per seven-day week. These services and activities shall be provided at the certified residential treatment program site.
- (2) Individual and/or group counseling services shall be provided at a certified program site of the agency at least five days per week...
- (3) Housing for clients, twenty-four hours per day, seven days per week and food for clients, to include at least three nutritionally-balanced meals per day, seven days per week.
- (4) The opportunity for clients to get eight hours of sleep per night.
- (5) A staff member who is at the program site to actively supervise and monitor clients twenty-four hours per day, seven days per week.

(D) Each [certified] halfway house treatment program shall provide:

- (1) Structured alcohol/drug addiction services and activities for at least ten hours per seven-day week. All structured alcohol and drug services and activities shall occur at the certified halfway house treatment program site.
- (2) Individual and/or group counseling services shall be provided at a certified program site of the agency at least two days per week.
- (3) Housing for clients twenty-four hours per day, seven days per week and food for clients, to include at least three nutritionally-balanced meals per day, seven days per week.
- (4) The opportunity for clients to get eight hours of sleep per night.
- (5) A staff member who is at the program site to monitor clients twenty-four hours per day, seven days per week.

(E) Time for meals, unstructured activities, "free time," time spent in attendance of self help groups, such as alcoholics anonymous or narcotics anonymous, is not included in the minimum hours of services and activities for a residential or halfway house treatment program.

(F) Interpersonal and group living skills shall be promoted in residential and halfway house treatment programs. Clients shall be transitioned to the general community for education, job training, job interviews, employment stabilization and obtaining alternative living arrangements.

- (1) A program may require clients to perform tasks of a housekeeping nature without compensation as specified within program guidelines.
- (2) Housekeeping tasks shall not be considered as meeting the alcohol/drug addiction services and activities requirement.

(G) Each residential and halfway house treatment program shall be organized and clinically managed to provide non-medical community residential level of care. Non-medical community residential treatment means a twenty-four hour rehabilitation facility without twenty-four hour-per-day medical/nursing monitoring. It is a planned program of professionally-directed evaluation, care and treatment for the restoration of functioning for persons with alcohol and other drug problems and/or addiction...

(H) A residential or halfway house treatment program that has twenty-four hours per day nursing and medical staff may provide one or more of the following levels of care:

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- (1) Medical community residential.
 - (2) Twenty-three hour observation bed.
 - (3) Sub-acute detoxification.
- (I) A residential and halfway house treatment program may provide ambulatory detoxification services that are supervised by a physician. Department certified halfway house and residential treatment programs that want to provide ambulatory detoxification services need not obtain outpatient certification from the department.
- (J) Each residential and halfway house treatment program shall provide, at a minimum, the following alcohol and drug addiction services at the program site in accordance with rule [3793:2-1-08](#) of the Administrative Code:
- (1) Assessment services,
 - (2) Individual and group counseling services,
 - (3) Crisis intervention services, and
 - (4) Case management.
- (K) A medical history, including food allergies and drug reactions, shall be completed on or before admission to a residential or halfway house treatment program.
- (1) Physical examinations shall be obtained within twenty-one calendar days of admission by a physician, clinical nurse specialist or certified nurse practitioner.
 - (2) A physician, clinical nurse specialist or certified nurse practitioner may accept a physical examination that has been done ninety days or less before the admission date of a client; however, a copy of the physical examination must be filed in the client's record and the individual accepting the physical exam must date and sign the physical examination.
 - (3) Each program shall refer a client for tuberculosis screening when indicated.
 - (4) Each program shall refer a client for hepatitis screening when indicated.
 - (5) Programs shall ascertain if pregnant clients are receiving prenatal care and document who is providing prenatal care.
 - (a) If a client is not receiving prenatal care, a program is required to offer assistance in obtaining prenatal care.
 - (b) If a client refuses to obtain prenatal care, the program shall document in her clinical record that she was offered assistance to obtain prenatal care.
- (L) Each adult residential and halfway house treatment program shall provide adequate space for the comfort and security of its clients in accordance with local occupancy rules and regulations.
- (M) Each residential and halfway house treatment program that serves children shall provide adequate space for the comfort and security of its clients in accordance with local occupancy rules and regulations.

Service Categories Not Found in FIS-040:

A-Recovery Housing
A-Medically Assisted Treatment (MAT)
A-Peer Mentoring
A-12 Step Approaches
A-Gambling Addiction (Prevention)